SERVICE OF PROCESS ON THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

1. SUMMONS & COMPLAINT (CCP 416.50)

The Office of The General Counsel of The Regents ("OGC"), 1111 Franklin Street, 8th Floor, Oakland, California 94607-5200, is authorized to accept of service of process on The Regents of the University of California ("The Regents"). University of California campuses and medical centers are subsumed entities of The Regents and not independent legal entities; therefore, service of process on campuses, medical centers, their officials, or other campus or medical center entities is not proper service on The Regents. (C.C.P. §416.50) Consequently, when designating parties in a complaint in a civil action arising from a dispute involving The Regents or any of its subsumed campuses or medical centers, The Regents is the proper party to name as defendant. It is not necessary to name as a defendant the involved campus or medical center in addition to The Regents.

A summons and complaint in any action naming The Regents as a defendant may be served by hand delivery to any attorney at the OGC during regular business hours, or by mail with accompanying notice and acknowledgment of receipt as provided for by section 415.30 of the Code of Civil Procedure and F.R.C.P. 4(d). The OGC is open for business from 8:00 a.m. to noon and 1:00 p.m.to 5:00 p.m. Monday – Friday, except for University holidays and a one week office closure at the end of each year. For service by mail, a copy of the summons and complaint along with two copies of a properly executed notice and acknowledgment of receipt or waiver of service of summons and a pre-paid return envelope must be sent to: Office of the General Counsel, 1111 Franklin Street, 8th Floor, Oakland, CA 94607.

The OGC is also authorized to accept service on behalf of certain designated officers, including Chancellors and the President of the University. Except as just noted, the OGC is not authorized to accept service of a summons and complaint on behalf of individuals named as defendants. Named individuals must be served directly in a manner authorized by the Code of Civil Procedure for service of a summons and complaint, unless specific alternative arrangements are made with the individuals through the OGC or the campus or medical center Risk Managers.

2. SMALL CLAIMS COURT ACTIONS

While attorneys in the Office of the General Counsel are authorized to accept service on behalf of The Regents in any civil action arising from a dispute involving The Regents or any of its subsumed campuses or medical centers, as described above, in a small claims action complaints should be served on the Risk Services Office listed in **Exhibit A** at the relevant campus or medical center. With the exception of certain designated officers, including the Chancellors and the President of the University, who may be served by serving an OGC attorney, as described above, individuals named in a small claims action must be served directly in a manner authorized by the Code of Civil Procedure for service in such actions. (See CCP sections 116.330 and 116.340.)

3. THE REGENTS IS NOT SUBJECT TO CLAIM-FILING PROVISIONS OF THE TORT CLAIMS ACT

California Government Code section 905.6 exempts The Regents of the University of California from claim-filing provisions of the Tort Claims Act. A claimant who wishes to file suit against The Regents may serve OGC as specified in section 1 above.

4. EARNINGS WITHHOLDING ORDERS

OGC attorneys are authorized to accept service of Earnings Withholding Orders (Wage Garnishment) relating to University of California Office of the President ("UCOP") employees and employees on UC campuses and medical centers. Service of such orders relating to employees on UC campuses or medical centers may also be made on the appropriate campus office, listed on **Exhibit B** hereto.

5. SUBPOENAS

The OGC is not authorized to accept a subpoena for the personal appearance of an individual employee of the University. When an employee is being subpoenaed to appear personally, then that employee must be personally served, unless the individual employee consents and specific alternative arrangements are made through the OGC or the campus or medical center Risk Managers.

OGC attorneys are authorized to accept service of all subpoenas addressed to The Regents (or a subunit thereof, such as a campus or school), including duces tecum for records and person most knowledgeable depositions, regardless of whether the custodian or witness is in the University of California Office of the President or at the campuses. However, OGC is not authorized to accept subpoenas addressed to individuals unless addressed to a Chancellor, the President, or an individual who has previously expressly authorized acceptance. When non-UCOP records are sought, the appropriate campus office, noted on **Exhibit B** hereto, can also be served (CCP 2020.220(b)(2)).

Exhibit A Campus Risk Managers

CAMPUS	ADDRESS & PHONE	
Berkeley	2130 Center Street, Suite 200 Berkeley, CA 94720-4208 FAX: 510-643-0281 Phone: (510) 642-5141	
Agriculture & Natural Resources (ANR)	Director Catherine Montano UC Division of Agriculture and Natural Resources Office of the Controller 1111 Franklin Street, 10th Floor Oakland, CA 94607-5200 Phone: (510) 987-0103 Principal Analyst Robin Sanchez UC Division of Agriculture and Natural Resources Office of the Controller 2801 Second Street Davis, CA 95618-7774 Phone: (530) 750-1235	
Davis	Risk Management 276 Hoagland Hall University of California One Shields Avenue Davis, CA 95616 FAX: 530-752-3439 Phone: (530) 752-1247	
Davis Medical Center	Risk Management 2300 Stockton Blvd. Sacramento, CA 95817 FAX: 916-734-2429 Phone: (916) 734-3883	
Irvine	250 Public Services Building Irvine, CA 92697-4530 FAX: 949-824-2141 Phone: (949) 824-7419	
Irvine Medical Center	UCIMC Risk & Regulatory Affairs 101 The City Drive, Bldg. 53, Ste. 100 Orange, CA 92868 FAX: 714-456-8619 Phone: (714) 456-5676	

CAMPUS	ADDRESS & PHONE	
Los Angeles	10920 Wilshire Blvd., #860 Los Angeles, CA 90024 FAX: 310-794-6957 Phone: (310) 794-6948	
Los Angeles Medical Center	10920 Wilshire Blvd., #430 Los Angeles, CA 90024 FAX: 310-794-5883 Phone: (310) 794-3501	
Merced	Office of Risk Services 1715 Canal Street Merced, CA 95340 Phone: (209) 228-4705	
Office of the President (UCOP)	1111 Franklin St., 8th Floor Oakland, CA 94607-5200 FAX: 510-987-9757 Phone: (510) 987-9800	
Riverside	UCR Risk Management 900 University Avenue Surge Building; #376 Riverside, CA 92521 FAX: 951-827-3202 Phone: (951) 827-8224	
San Francisco	UCSF Risk Management & Insurance Services 3333 California St., LH 325 Box 1338 San Francisco, CA 94143 FAX: 415-502-7476 Phone: (415) 476-2498	
San Francisco Medical Center	UCSF Risk Management & Insurance Services 3333 California St., LH 325 Box 1338 San Francisco, CA 94143 FAX: 415-502-7476 Phone: (415) 476-2498	
San Francisco Medical Center (Clinical Care not SFGH or Fresno)	Susan L. Penney, J.D. Director of Risk Management UCSF Medical Center 350 Parnassus Suite 507 San Francisco, CA 94143-0207 FAX: 415-353-8566 Phone: (415) 353-1842	

CAMPUS	ADDRESS & PHONE
San Diego	Campus Risk Management Phone: (858) 534-2454
	Physical Address: 10280 North Torrey Pines Road, Suite 330 La Jolla, CA 92037
	Mailing Address: 9500 Gilman Drive La Jolla, CA 92093-0925
San Diego Medical Center	Department of Risk Management 200 West Arbor Drive San Diego, CA 92103-8976 Phone: (619) 543-2042
Santa Barbara	Risk Management Environmental Health & Safety 565 Mesa Road Santa Barbara, CA 93106-5132 FAX: 805-893-8521 Phone: (805) 893-2860
Santa Cruz	Risk Services 1156 High Street - Barn H Santa Cruz, CA 95064 FAX: 831-459-3268 Phone: (831) 459-3261

Exhibit B

CAMPUS	ADDRESS & PHONE
Berkeley	See Legal Affairs' list of <u>UCB Custodians</u>
Agriculture & Natural Resources (ANR) Subpoenas and Records (either Davis or Oakland location is acceptable):	Director Catherine Montano UC Division of Agriculture and Natural Resources Office of the Controller 1111 Franklin Street, 10th Floor Oakland, CA 94607-5200 Phone: (510) 987-0103 Principal Analyst Robin Sanchez UC Division of Agriculture and Natural Resources Office of the Controller 2801 Second Street Davis, CA 95618-7774 Phone: (530) 750-1235
Davis	Campus Records: Information Practices Coordinator Mrak Hall, Room 420 University of California, Davis One Shields Avenue Davis, CA 95616 Phone: (530) 754-6295 Medical Center Records: Health Information Management Privacy & Security Unit 2315 Stockton Boulevard MRB #12 Sacramento, CA 95817 Phone: (916) 734-5205
Irvine	Student Health Records (exclusively): 501 Student Health Center (Corner of E. Peltason/Pereira) Irvine, CA 92697-5200 Phone: (949) 824-5137 Police Records or Officer Witness (only): UCIPD 100 Public Services Building Irvine, CA 92697-5200 Phone: (949) 824-6909 All Other Campus Records or Combination of Records: Insurance and Risk Management 250 Public Services Building Irvine, CA 92697 Phone: (949) 824-3257

CAMPUS	ADDRESS & PHONE
	Patient Medical Records: UCI Medical Center – Records Office, Subpoena Unit 101 The City Drive, Bldg. 25 Orange, CA 92868
Irvine Medical Center	UCIMC or College of Medicine Physician Records not previously described, Employees' Worker's Comp Records, and All Subpoenas and Deposition Subpoenas Involving UCIMC Faculty and Staff: UCIMC Risk Management 100 The City Drive, Bldg. 26, Rm. 802 Orange, CA 92868 Phone: (714) 456-5676
	Campus Records (incl. Financial & Business Records): Records Management & Information Practices University of California, Los Angeles 10920 Wilshire Blvd., Suite 530 Los Angeles, CA 90024 Phone: (310) 794-8741
Los Angeles	Employment Records: Records Management University of California, Los Angeles 10920 Wilshire Blvd., Suite 530 Los Angeles, CA 90024 Phone: (310) 794-8960
* Related UCLA Designated Custodians of Record (Exhibit C)	Student Records: Registrar's Office 1105 Murphy Hall Charles E Young Dr. S. Los Angeles, CA 90095 (310) 825-3728

Public Records Requests: Information Practices

Los Angeles, CA 90024 Phone: (310) 794-8741

University of California, Los Angeles 10920 Wilshire Blvd., Suite 530

CAMPUS

ADDRESS & PHONE

Employment Records:

Records Management

University of California, Los Angeles 10920 Wilshire Blvd., Suite 530

Los Angeles, CA 90024 Phone: (310) 794-8960

Financial & Business Records:

Records Management & Information Practices

University of California, Los Angeles 10920 Wilshire Blvd., Suite 530

Los Angeles, CA 90024 Phone: (310) 794-8741

Los Angeles Medical Center

Public Records Requests:

Information Practices

University of California, Los Angeles 10920 Wilshire Blvd., Suite 530

Los Angeles, CA 90024

Phone: (310) 794-8741

Patient Records:

Privacy Management Office

University of California, Los Angeles

10833 Le Conte Ave., CHS - Suite BH-225

Los Angeles, CA 90095 Phone: (310) 825-6021

Subpoenas:

Office of Legal Affairs

c/o Chief Campus Counsel Elisabeth Gunther

Kolligian Library, 3rd Floor

UC Merced

5200 North Lake Rd Merced, CA 95343

Office of the President (UCOP)

Merced

Office of Risk Services

1111 Franklin Street, 10th Floor

Oakland, CA 94607 Phone: (510) 987-9826

CAMPUS	ADDRESS & PHONE
Riverside	Payroll and Personnel Records: Payroll Office/Accounting Services Office 1201 University Avenue, Suite 208 Riverside, CA 92507 Phone: (951) 827-3303 Medical Records-Students: UC Riverside Campus Health Center 900 University Avenue Riverside, CA 92521 Phone: (951) 827-3031 Bankruptcy Court Notices: Student Business Services 900 University Avenue Riverside, CA 92521 Counseling Center Records: UC Riverside Counseling and Psychological Services 404 Veitch Center, North Wing Riverside, CA 92521 Phone: (951) 827-5531 Student Records, other than Medical or Counseling: Office of the Registrar 2249 Student Services Bldg. University of California, Riverside Riverside, CA 92521-0118 Phone: (951) 827-5977 *Subpoenas for Individuals to appear must be served on the individual
San Diego	Campus and Medical Center Records (other than medical records): Campus Risk Management Phone: (858) 534-2454 Physical Address: 10280 North Torrey Pines Road, Suite 330 La Jolla, CA 92037 Mailing Address: 9500 Gilman Drive La Jolla, CA 92093-0925 Billing Records Patient Financial Services

San Diego, CA 92122 Phone: (858) 657-8900

CAMPUS

ADDRESS & PHONE

Professional Fees Billing

UCSD Medical Group Phone: (619) 543-1835

Patient Medical Records:

UCSD Healthcare Health Information Services

Phone: (619) 543-6704 Physical Address: 200 West Arbor Drive San Diego, CA 92103

Individual/Doctor involving University/Hospital Business Depositions:

UC San Diego Department of Risk Management 200 West Arbor Drive San Diego, CA 92103-8976 Phone: (619) 543-2042

Radiology Records

Radiology File Room 200 W. Arbor Drive, MC 8756 San Diego, CA 92103 Phone: (619) 543-6586

San Francisco

Payroll/Personnel Records (for both campus and MC):

Accounting/Payroll Department 1855 Folsom Street, MCB 425 San Francisco, CA 94143-0812 Phone: (415) 476-3836

Medical Patient Records:

Health Information Management Services 185 Berry St., Lobby 1, Suite 2000 San Francisco, CA 94107 Phone: (415) 353-2885

San Francisco Medical Center

Individual/Doctor involving University/Hospital Business Depositions:

Risk Management, Medical Center 350 Parnassus Ave., Suite 507, Box 0207 San Francisco, CA 94143-0207

CAMPUS

ADDRESS & PHONE

Individual/Doctor involving Depositions re Patient Care at San Francisco General Hospital:

UCSF Office of Risk Management at SFGH

San Francisco General Hospital Box 0872, 1001 Potrero Avenue

Building 20, Room 2103 San Francisco, CA 94110

Phone: (415) 206-6052

Personnel Records (UCSF Medical Center Faculty & Staff Personnel, Medical Residents, Postdoctoral Scholars):

UCSF Accounting/Payroll 1855 Folsom St., MCB 425 San Francisco, CA 94143-0812

Phone: (415) 476-3836

Records Pertaining to San Francisco General Hospital:

San Francisco General Hospital Medical Center Health Information Services, Main Hospital 1001 Potrero Avenue, Room 2B5 San Francisco, CA 94110-3518

Phone: (415) 206-6213

Records Pertaining to UCSF Fresno:

UCSF Fresno Medical Education Program

155 N. Fresno Street Fresno, CA 93701-2302

Transcripts:

Office of the Registrar

190 Hahn Student Service Building University of California, Santa Cruz

Santa Cruz, CA 95064 Phone: (831) 459-5604

Santa Cruz

All Other Records:

Information Practices

Office of the Campus Counsel

200 Clark Kerr Hall

University of California, Santa Cruz

Santa Cruz, CA 95064 Phone: (831) 459-2058

Subpoenas:

Risk Management

Environmental Health & Safety

565 Mesa Road

Santa Barbara, CA 93106-5132

Phone: (805) 893-4440

Santa Barbara

Exhibit C

Related UCLA Designated Custodians of Record				
Type of Record	Custodian of Records	Location	Phone	
Patient Medical Records UCLA Medical Center and Santa Monica-UCLA Medical Center	Medical Record Custodians	BH-927 CHS	(310) 825-6602 or (310) 794-5146	
Patient Medical Records UCLA NPH	NPH Medical Records Medical Record Custodian (Psych)	300 Medical Plaza, Ste 500A Los Angeles, CA 90095	(310) 206-8182	
Primary Care Network Patient Medical Records	Contact location where service was rendered			
Professional Support Services Billing	Director Professional Support Services	UCLA Century Center Box 951666, Suite 400 Los Angeles, CA 90095-1666	(310) 301-8900	
Employment Records Physicians	Director, SOM Dean's Office Academic Affairs	924 Westwood Blvd. Suite 545	(310) 794-8192	
Patient Accounts	Health Advocates Patient Business Services Correspondence Manager	10920 Wilshire Blvd. Suite #1600	(310) 794-8426	
Radiology	Radiology - Film Library File Room/Film Release	200 Medical Plaza B165-11	(310) 825-6425	
Pathology	Manager, Surgical Pathology	Reporting Office, AR-105 CHS	(310) 825-6596	
Medical Center Policies and Procedures (Administrative & Nursing)	Office of Licensing and Policy	200 Med Plaza, Ste 202	(310) 206-4707	
Dental Records (Faculty Group Practice)	MSO	100 Med Plaza, Ste 350	(310) 794-5750	
Dental Records (Student Practice)		10-138 CHS Dentistry Bldg.	(310) 825-3195	
Student Health Medical Records	Medical Records Asst.	Ashe Student Health & Wellness Ctr. 221 Westwood Plaza	(310) 825-4694	
Academic Records Medical School	Director	SOM Office of Student Affairs	(310) 825-3970	
Academic Records Dental School	Assistant Dean	School of Dentistry AO53-038 Dentistry Bldg.	(310) 825-0030	
Financial Records & Public Records Requests	Director, Bus. & Admin. Services	10920 Wilshire Blvd., Suite 600	(310) 794-8686	